

02/05/01  
U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. ATI010003 Total Pages 42  
*First Inventor or Application Identifier* Stephen A. Bagshaw  
 Title METHOD AND SYSTEM FOR DUAL LINK  
 COMMUNICATIONS ENCRYPTION  
 Express Mail Label No. EL693022339US

JCS973 U.S. PTO  
 09/777032  
 02/05/01

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Specification *Total Pages 29*  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawings *(35 USC 113) Total Sheets 6*
4. Oath or Declaration *Total Pages 2*
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application  
*(37 CFR 1.63(d))*  
*(for continuation/divisional with Box 16 completed)*

- i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*

5.  Microfiche Computer Program *(Appendix)*

ADDRESS TO:  
**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

6.  Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy *(identical to computer copy)*
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers *(cover sheet & document(s))*
8.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*
9.  English Translation Document *(if applicable)*
10.  Information Disclosure  Copies of Statement *(IDS)/PTO-1449* *IDS Citations*
11.  Preliminary Amendment
12.  Return Receipt Postcard *(MPEP 503)*  
*(Should be specifically itemized)*
13.  Applicant claims small entity status.  
*See 37 CFR 1.27*
  - Statement filed in Prior Application, Status still proper and desired.
14.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
15.  Request & Certification Under 35 U.S.C. 122(b)(2)(B)(i)
16.  Other

## 16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- Continuation  Divisional  Continuation-in-part (CIP) *of prior application No:*

Prior Application Information: *Examiner* *Group / Art Unit:*

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4B, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **27412**

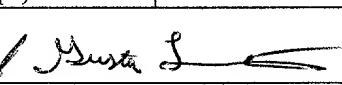
or,  Correspondence Address Below

**Simon, Galasso & Frantz, PLC**  
**P.O. Box 26503**

**Austin, Texas 78755-0503**

**Telephone: 512-336-8957**

**Facsimile: 512-336-9155**

Name and Registration Number (Print/Type)	<b>J. Gustav Larson, Reg. No. 39,263</b>		
Signature		Date	<b>February 05, 2001</b>

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** **966.00**

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	50-0441
Deposit Account Name	ATI Technologies, Inc.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance  
 Applicant claims small entity status.  
 See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	<b>710.00</b>
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1) (\$)** **710.00**

### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 32	(-20 =) 12	18.00	<b>216.00</b>
Indep. 3	(-3 =) 0		.00
Multiple Dep.			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim
109 80	209 40	Reissue independent claims over original patent
110 18	210 9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** **216.00**

Complete if Known

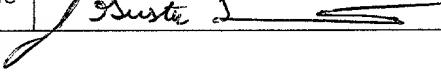
Application Number			
Filing Date	<b>February 05, 2001</b>		
First Named Inventor	<b>Stephen A. Barshaw</b>		
Group Art Unit			
Examiner Name			
Attorney Docket Number	<b>ATI010003</b>		

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 645	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 1550	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	<b>40.00</b>
146 710	246 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid      **SUBTOTAL (3) (\$)** **40.00**

SUBMITTED BY: <b>Simon, Galasso &amp; Frantz, PLC.</b>			Complete (if applicable)	
Typed or Printed Name		<b>J. Gustav Larson, Reg. No. 39,263</b>		
Signature		Date	02-05-01	Deposit Account User ID

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen A. Barshaw

Examiner:

Serial No:

Art Group:

Filing Date: February 05, 2001

Docket No: ATI010003

Title: METHOD AND SYSTEM FOR DUAL LINK COMMUNICATIONS  
ENCRYPTION

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To the Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL693022339US

Name of Depositor: **Terri Alloway**  
(print or type)

Date of Deposit: February 05, 2001

Signature: Terri Alloway

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

- Items accompanying this Certificate of Express Mailing:  
Filing papers for a new patent application, such filing papers include:
- A specification and drawings for a new patent application;
  - Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
  - Transmittal cover letter;
  - Assignment agreement with Recordation Form Cover Sheet;
  - Combined Declaration and Power of Attorney;
  - Return upon receipt post card;

**REQUEST AND  
CERTIFICATION UNDER 35  
U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Stephen A. Bagshaw
Title	METHOD AND SYSTEM FOR DUAL LINK COMMUNICATIONS ENCRYPTION
Atty Docket Number	ATI010003

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. However, the Applicant retains the option to rescind this request at any time. I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

2-5-01

Date



Signature

J. Gustav Larson, Reg. No. 39,263

Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published at eighteen months from the earliest claiming filing date for which a benefit is claimed.

If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**